

## Account Transactions (General Ledger)

ED&amp;F Man Capital Markets Ltd (E1)

27-07-2012 through 02-09-2019

Date	JCL Journal ID	Journal Type	Description	Class	Rel Ticket	Amount	Running Balance
24-01-14	USR 240420	User Adjustment	CNQ US Clearance Charge	Unclassified		19,790.82 Cr	143,809,375.35 Dr
27-01-14	USR 240870	User Adjustment	Non Seg to Seg Move	Unclassified		26,929.00 Dr	143,836,304.35 Dr
27-01-14	USR 240879	User Adjustment	Non Seg to Seg Move	Unclassified		8,472.29 Dr	143,844,776.64 Dr
24-02-14	USR 250759	User Adjustment	Non Seg to Seg Move	Unclassified		28,860.64 Dr	143,873,637.28 Dr
25-02-14	USR 251440	User Adjustment	Rev - Non Seg to Seg Move	Unclassified		28,860.64 Cr	143,844,776.64 Dr
25-02-14	USR 251469	User Adjustment	Rev - Non Seg to Seg Move	Unclassified		28,860.64 Cr	143,815,916.00 Dr
25-03-14	USR 260694	User Adjustment	CASH DIV - UN US - PD 12/03/14	Unclassified		574,591.50 Cr	143,241,324.50 Dr
25-03-14	USR 260706	User Adjustment	STCK LOAN DIV - UN US - PD 12/03/14	Unclassified		637,800.84 Cr	142,603,523.66 Dr
25-03-14	USR 260732	User Adjustment	SWAP DIV - UN US - PD 12/03/14	Unclassified		637,800.84 Dr	143,241,324.50 Dr
25-03-14	USR 260733	User Adjustment	SWAP DIV - UN US - PD 12/03/14	Unclassified		574,591.50 Dr	143,815,916.00 Dr
04-04-14	USR 276453	User Adjustment	Seg to Non Seg Move	Unclassified		160,445.00 Cr	143,655,471.00 Dr
11-06-14	USR 300313	User Adjustment	STCK LOAN DIV - UN US - PD 11/06/14	Unclassified		1,435,243.48 Cr	142,220,227.52 Dr
11-06-14	USR 300320	User Adjustment	SWAP DIV - UN US - PD 11/06/14	Unclassified		1,435,243.48 Dr	143,655,471.00 Dr
03-07-14	USR 309839	User Adjustment	DIV WHT ADJ - UN US - PD 12/03/14	Unclassified		82,990.74 Cr	143,572,480.26 Dr
03-07-14	USR 309841	User Adjustment	DIV WHT ADJ - UN US - PD 12/03/14	Unclassified		82,990.74 Cr	143,489,489.52 Dr
09-07-14	USR 311729	User Adjustment	DIV WHT ADJ REV DUPE POSTING - UN US - PD 12/	Unclassified		82,990.74 Dr	143,572,480.26 Dr
15-07-14	USR 314155	User Adjustment	UN US clearance charge	Unclassified		81,682.52 Dr	143,654,162.78 Dr
01-08-14	TRC T00031627601002	Trade	SEL 303,366.23 DKK @ .1794 USD 54,415.47	CASH	316276	54,415.47 Cr	143,599,747.31 Dr
11-09-14	USR 332531	User Adjustment	USD PAYMENT	Unclassified		200,000.00 Dr	143,799,747.31 Dr
12-09-14	USR 332928	User Adjustment	STCK LOAN DIV - UN US - PD 10/09/14	Unclassified		1,400,255.32 Cr	142,399,491.99 Dr
12-09-14	USR 332936	User Adjustment	SWAP DIV - UN US - PD 10/09/14	Unclassified		1,400,255.32 Dr	143,799,747.31 Dr
12-12-14	USR 365063	User Adjustment	CASH DIV - UN US - PD 10/12/14	Unclassified		970,645.00 Cr	142,829,102.31 Dr
12-12-14	USR 365072	User Adjustment	STCK LOAN DIV - UN US - PD 10/12/14	Unclassified		334,458.52 Cr	142,494,643.79 Dr
12-12-14	USR 365081	User Adjustment	SWAP DIV - UN US - PD 10/12/14	Unclassified		842,420.13 Dr	143,337,063.92 Dr
12-12-14	USR 365082	User Adjustment	SWAP DIV - UN US - PD 10/12/14	Unclassified		334,458.52 Dr	143,671,522.44 Dr
16-12-14	USR 366060	User Adjustment	UN US clearance charge	Unclassified		123,666.59 Dr	143,795,189.03 Dr
19-12-14	SYS 367509	Swap Close	Realized gain/loss for Full close of Swap #20	CASH	203931	726,000.00 Cr	143,069,189.03 Dr
19-12-14	SYS 367532	Swap Close	Realized gain/loss for Full close of Swap #20	CASH	203930	476,718.00 Cr	142,592,471.03 Dr
19-12-14	SYS 367535	Reversal of Trade	SEL 1,200,000 UN US @ 39.13 USD 46,956,000	PROCEED-EQUITY	366944	46,956,000.00 Dr	189,548,471.03 Dr
19-12-14	SYS 367536	Reversal of Trade	SEL 800,000 UN US @ 39.13 USD 31,304,000	PROCEED-EQUITY	366946	31,304,000.00 Dr	220,852,471.03 Dr
19-12-14	TRC T00036694401001	Trade	SEL 1,200,000 UN US @ 39.13 USD 46,956,000	PROCEED-EQUITY	366944	46,956,000.00 Cr	173,896,471.03 Dr
19-12-14	TRC T00036694601001	Trade	SEL 800,000 UN US @ 39.13 USD 31,304,000	PROCEED-EQUITY	366946	31,304,000.00 Cr	142,592,471.03 Dr
19-12-14	TRC T00036697801001	Trade	SEL 1,200,000 US9047847093 @ 39.13 USD 46,956	PROCEED-EQUITY	366978	46,956,000.00 Cr	95,636,471.03 Dr
19-12-14	TRC T00036698001001	Trade	SEL 800,000 US9047847093 @ 39.13 USD 31,304,0	PROCEED-EQUITY	366980	31,304,000.00 Cr	64,332,471.03 Dr
22-12-14	SYS 368046	Reversal of Trade	SEL 1,200,000 US9047847093 @ 39.13 USD 46,956	PROCEED-EQUITY	366978	46,956,000.00 Dr	111,288,471.03 Dr
22-12-14	SYS 368047	Reversal of Trade	SEL 800,000 US9047847093 @ 39.13 USD 31,304,0	PROCEED-EQUITY	366980	31,304,000.00 Dr	142,592,471.03 Dr
22-12-14	TRC T00036722401001	Trade	SEL 1,200,000 UN US @ 39.13 USD 46,956,000	PROCEED-EQUITY	367224	46,956,000.00 Cr	95,636,471.03 Dr
22-12-14	TRC T00036723301001	Trade	SEL 1,000,000 UN US @ 39.13 USD 39,130,000	PROCEED-EQUITY	367233	39,130,000.00 Cr	56,506,471.03 Dr
16-01-15	USR 375697	User Adjustment	Clearance charge adj	Unclassified		281.01 Dr	56,506,752.04 Dr
30-01-15	SYS 378931	Swap Close	Realized gain/loss for Full close of Swap #36	CASH	367364	5,735,062.00 Dr	62,241,814.04 Dr
16-03-15	USR 396201	User Adjustment	CASH DIV - UN US - PD 11/03/15	Unclassified		466,316.88 Cr	61,775,497.16 Dr
16-03-15	USR 396362	User Adjustment	UN US clearance charge	Unclassified		41,339.49 Dr	61,816,836.65 Dr
26-03-15	USR 402679	User Adjustment	SEG TO NON SEG MOVE	Unclassified		146,095.65 Cr	61,670,741.00 Dr
27-03-15	USR 403264	User Adjustment	USD RECEIPT	Unclassified		64,965.00 Cr	61,605,776.00 Dr

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ED&amp;F Man Capital Markets Ltd (E1)

27-07-2012 through 02-09-2019

Date	JCL Journal ID	Journal Type	Description	Class	Rel Ticket	Amount	Running Balance
30-04-15	SYS 417800	Swap Close	Realized gain/loss for Full close of Swap #37	CASH	375276	3,082,776.40 Dr	64,688,552.40 Dr
06-05-15	USR 422065	User Adjustment	Reversal of USD RECEIPT	Unclassified		64,965.00 Dr	64,753,517.40 Dr
27-05-15	TRC T00049815701002	Trade	SEL 140,157.58 DKK @ .1474 USD 20,655.15	CASH	498157	20,655.15 Cr	64,732,862.25 Dr
09-06-15	USR 437068	User Adjustment	UN US clearance fee	Unclassified		15,055.62 Cr	64,717,806.63 Dr
11-06-15	TRD T00050595801002	Trade	BUY 12,986.47 EUR @ 1.1239 USD 14,595.49	CASH	505958	14,595.49 Dr	64,732,402.12 Dr
25-06-15	TRC T00051567101002	Trade	SEL 7.9 EUR @ 1.115 USD 8.81	CASH	515671	8.81 Cr	64,732,393.31 Dr
10-07-15	USR 450533	User Adjustment	STCK LOAN DIV - UN US - PD 03/06/15	Unclassified		460,827.40 Cr	64,271,565.91 Dr
13-08-15	USR 460384	User Adjustment	Mkt Ticket charges - May 2015	Unclassified		25.00 Dr	64,271,590.91 Dr
13-08-15	USR 460432	User Adjustment	Mkt Ticket charges - July 2015	Unclassified		10.92 Dr	64,271,601.83 Dr
26-08-15	SYS 462696	Swap Close	Realized gain/loss for Full close of Swap #48	CASH	483762	4,146,836.98 Cr	60,124,764.85 Dr
10-09-15	USR 467673	User Adjustment	STCK LOAN DIV - UN US - PD 09/09/15	Unclassified		474,117.72 Cr	59,650,647.13 Dr
23-11-15	SYS 492823	Swap Close	Realized gain/loss for Full close of Swap #53	CASH	532423	3,846,103.04 Dr	63,496,750.17 Dr
24-11-15	USR 494885	User Adjustment	Mkt Ticket charges - August 2015	Unclassified		10.92 Dr	63,496,761.09 Dr
24-11-15	USR 494940	User Adjustment	Mkt Ticket charges - September 2015	Unclassified		25.00 Dr	63,496,786.09 Dr
10-12-15	USR 501211	User Adjustment	STCK LOAN DIV - UN US - PD 09/12/15	Unclassified		496,220.10 Cr	63,000,565.99 Dr
05-01-16	USR 507831	User Adjustment	Wire Transfer	Unclassified		5,980.64 Dr	63,006,546.63 Dr
23-02-16	SYS 521008	Swap Close	Realized gain/loss for Full close of Swap #55	CASH	558250	984,350.44 Cr	62,022,196.19 Dr
09-03-16	USR 526860	User Adjustment	STCK LOAN DIV - UN US - PD 09/03/16	Unclassified		476,140.16 Cr	61,546,056.03 Dr
10-03-16	USR 527322	User Adjustment	UN US Dividend PD 09/03/16	Unclassified		476,140.16 Dr	62,022,196.19 Dr
22-03-16	SYS 530542	Swap Close	Realized gain/loss for Full close of Swap #57	CASH	573704	2,311,360.00 Dr	64,333,556.19 Dr
23-03-16	TRC T00058017101002	Trade	SEL 444,600 UN US @ 44.55 USD 19,806,930	PROCEED-EQUITY	580171	19,806,930.00 Cr	44,526,626.19 Dr
20-04-16	SYS 540068	Swap Close	Realized gain/loss for Full close of Swap #58	CASH	580427	750,000.00 Dr	45,276,626.19 Dr
04-05-16	USR 548565	User Adjustment	UN US Div reimbursement	Unclassified		53,200.00 Cr	45,223,426.19 Dr
17-05-16	SYS 555396	Swap Close	Realized gain/loss for Full close of Swap #58	CASH	586214	172,000.00 Cr	45,051,426.19 Dr
27-05-16	USR 562724	User Adjustment	STCK LOAN DIV - EURN BB - PD 26/05/16	Unclassified		512,500.00 Cr	44,538,926.19 Dr
06-06-16	SYS 565830	Swap Close	Realized gain/loss for Full close of Swap #60	CASH	601586	630,000.00 Dr	45,168,926.19 Dr
07-06-16	USR 568564	User Adjustment	STCK LOAN DIV - UN US - PD 01/06/16	Unclassified		364,800.00 Cr	44,804,126.19 Dr
20-06-16	SYS 572094	Swap Close	Realized gain/loss for Full close of Swap #61	CASH	610394	2,470,000.00 Cr	42,334,126.19 Dr
20-06-16	TRC T00061519101002	Trade	SEL 1,000,000 UN US @ 42.87 USD 42,870,000	PROCEED-EQUITY	615191	42,870,000.00 Cr	535,873.81 Cr
21-06-16	USR 573417	User Adjustment	Adjustment to Swap Dividend in USD #583468	Unclassified		512,500.00 Dr	23,373.81 Cr
08-07-16	USR 580311	User Adjustment	UN US Dividend 09/03/16 Rev	Unclassified		476,140.16 Cr	499,513.97 Cr
14-07-16	USR 582109	User Adjustment	UN US 07/06/16 Dividend	Unclassified		364,800.00 Dr	134,713.97 Cr
14-07-16	USR 582118	User Adjustment	UN US 04/05/16 Dividend	Unclassified		53,200.00 Dr	81,513.97 Cr
19-07-16	USR 583548	User Adjustment	UN US 07/06/16 Dividend	Unclassified		364,800.00 Cr	446,313.97 Cr
19-07-16	USR 583557	User Adjustment	UN US 04/05/16 Dividend	Unclassified		53,200.00 Cr	499,513.97 Cr

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ED&amp;F Man Capital Markets Ltd (E1)

27-07-2012 through 02-09-2019

17-11-16	USR 623671	User Adjustment	UN US DIV PD 09.03.16 Div Reimbursement	Unclassified		70,785.40 Cr	570,299.37 Cr
01-12-16	USR 629901	User Adjustment	UN DIV PD 09.03.16 Div Reimbursement REV	Unclassified		70,785.40 Dr	499,513.97 Cr
01-12-16	USR 629910	User Adjustment	UN US clearance fee	Unclassified		344,433.36 Dr	155,080.61 Cr
08-12-16	TRD T00066033701002	Trade	BUY 320,999.42 DKK @ 6.906 USD 46,481.24	CASH	660337	46,481.24 Dr	108,599.37 Cr
12-01-17	USR 646816	User Adjustment	NON SEG TO SEG	Unclassified		108,599.37 Dr	0.00 Cr
17-01-17	TRC T00066997501002	Trade	BUY 757.15 USD @ 1.0662 EUR 710.14	CASH	669975	757.15 Cr	757.15 Cr
11-08-17	USR 738405	User Adjustment	Transfer from NONSEG to SEG	Unclassified		757.15 Dr	0.00 Cr
19-04-18	TRC T00082925701002	Trade	SEL 49,884.93 EUR @ 1.2343 USD 61,572.97	CASH	829257	61,572.97 Cr	61,572.97 Cr
24-04-18	USR 850012	User Adjustment	Seg to Non Seg	Unclassified		109,356.52 Cr	170,929.49 Cr
04-06-18	TRD T00087364601002	Trade	BUY 59.1 EUR @ 1.166 USD 68.91	CASH	873646	68.91 Dr	170,860.58 Cr
06-06-18	USR 890774	User Adjustment	USD PAYMENT	Unclassified		165,829.49 Dr	5,031.09 Cr
11-06-19	USR 1009696	User Adjustment	USD PAYMENT	Unclassified		5,031.09 Dr	0.00 Cr

## Asset (USD/USD) Layer (SEG CASH ACC) (US Dollar)

Date	JCL Journal ID	Journal Type	Description	Class	Rel Ticket	Amount	Running Balance
27-07-12	OPN		Opening Balance			0.00 Cr	0.00 Cr
28-12-12	USR 43830	User Adjustment	NONSEG TO SEG MOVEMENTS 28.12.12	Unclassified		300,000.00 Cr	300,000.00 Cr
27-01-14	USR 240870	User Adjustment	Non Seg to Seg Move	Unclassified		26,929.00 Cr	326,929.00 Cr
27-01-14	USR 240879	User Adjustment	Non Seg to Seg Move	Unclassified		8,472.29 Cr	335,401.29 Cr
24-02-14	USR 250759	User Adjustment	Non Seg to Seg Move	Unclassified		28,860.64 Cr	364,261.93 Cr
25-02-14	USR 251440	User Adjustment	Rev - Non Seg to Seg Move	Unclassified		28,860.64 Dr	335,401.29 Cr
25-02-14	USR 251469	User Adjustment	Rev - Non Seg to Seg Move	Unclassified		28,860.64 Dr	306,540.65 Cr
04-04-14	USR 276453	User Adjustment	Seg to Non Seg Move	Unclassified		160,445.00 Dr	146,095.65 Cr
26-03-15	USR 402679	User Adjustment	SEG TO NON SEG MOVE	Unclassified		146,095.65 Dr	0.00 Cr
12-01-17	USR 646816	User Adjustment	NON SEG TO SEG	Unclassified		108,599.37 Cr	108,599.37 Cr
11-08-17	USR 738405	User Adjustment	Transfer from NONSEG to SEG	Unclassified		757.15 Cr	109,356.52 Cr
24-04-18	USR 850012	User Adjustment	Seg to Non Seg	Unclassified		109,356.52 Dr	0.00 Cr

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